

Theodore L. Banks

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Ted Banks concentrates his practice on antitrust, compliance, food law, and other corporate matters. Mr. Banks has extensive experience with corporate litigation, including responsibility for contested mergers, environmental contamination, advertising, insurance coverage, products liability, employment law, consumer protection, and packaging and recycling. He has a national reputation for work in corporate compliance and antitrust, and was an early proponent of corporate opt-out suits as plaintiff in antitrust litigation, such as Vitamin, Carbon Dioxide, Corrugated Container, Folding Carton, and Citric Acid Antitrust Litigation, recovering more than \$100 million. Through his experience in all aspects of the food industry, Mr. Banks has deep familiarity with the regulatory frameworks and state and federal laws governing food manufacture, distribution, sales, and safety.

He has successfully managed business and legal challenges over the course of his career, including at Kraft Foods, where he was Chief Counsel - Global Compliance after serving as Associate General Counsel with responsibility for antitrust, general litigation, corporate transactions, sales, legal computer applications and public policy coordination. While at Kraft, Mr. Banks negotiated more than 50 major corporate transactions, including a \$19 billion acquisition and an \$8 billion IPO. He has advised a 5,000 member corporate sales division on pricing, distribution, and marketing issues and has assisted many corporations, large and small, in developing corporate compliance programs, including formulation of risk assessment, policy creation and program development.

Mr. Banks has been appointed as a corporate compliance monitor by the Federal Trade Commission and Competition Bureau of Canada to oversee compliance programs of respondent companies. He has developed records management systems for global organizations, including the design of document



Practice Areas:

Compliance
Antitrust
Corporate
Food
Insurance
Intellectual Property
Pay Equity

Education:

J.D., University of Denver Sturm
College of Law, 1975
B.A., Beloit College, 1972

Admissions and Qualifications:

Illinois
Colorado
Supreme Court of the United
States
U.S. District Court for the
Northern District of Illinois

Prior Experience:

Kraft Foods (1976-2008)
Schoeman, Updike, Kaufman &
Scharf, of counsel (2009-2012)

management, electronic discovery and legal hold applications.

Mr. Banks has been recognized as an Illinois "Super Lawyer" in the field of corporate governance and compliance, is a "Leading Lawyer" in Antitrust and Publicly Held Corporation Law, and is "AV Preeminent" peer review rated by Martindale-Hubbell. Mr. Banks is an adjunct professor of law at Loyola University Law School, teaching corporate compliance. He was recognized by the national Law Journal as a "Compliance Trailblazer and Pioneer," and was awarded the distinguishable Alumni Award from the University of Denver.

Mr. Banks has been a leader in bar and community organizations. Mr. Banks founded and served as first chair of the North Shore Compliance Officers' Roundtable, and is a member of the steering committee of the Chicago Regional Business Ethics Network. He is pro bono General Counsel of Keep Chicago Beautiful and treasurer of the Jewish National Fund-USA. He previously served as a board member of the Jewish Federation of Metropolitan Chicago. Mr. Banks is also an active member of the American Bar Association and the Society of Corporate Compliance & Ethics.

Mr. Banks received a B.A. from Beloit College in 1972. He graduated from the University of Denver Sturm College of Law in 1975, where he was Editor-in-Chief of the Denver Journal of International Law & Policy.

Experience

- Representing major consumer packaged goods companies in more than 50 major acquisitions and divestitures with a combined value in excess of \$15 billion.
- Representing major organic foods distributor before the Federal Trade Commission in connection with sale of the business.
- Representing major Italian industrial company with regard to antitrust aspects of patent licenses.
- Advising chemical company on restrictive distribution agreement based on customer and patent concerns.

- Advising global consulting firm on revisions of compliance policies.
- Assisting major manufacturer of office products in revising distribution system and promotion program.
- Advising Belgium-based food company on U.S.. distribution of products.
- Advising Italian food company on antitrust aspects of U.S.. marketing and sales program.
- Representing individual whistleblower with regard to False Claims Act.
- Advising on legal risks of pricing and promotion programs.
- Serving as expert witness at trial with regard to the compliance program of a major global manufacturer.
- Assisting in revision of compliance program for major U.S.. manufacturing firm.
- Serving as compliance monitor on behalf of the Federal Trade Commission and the Competition Bureau of Canada.
- Advising companies on legal exposure with regard to social media activities.
- Assisting global hospitality company on revisions to compliance program, including global compliance risk assessment.
- Providing antitrust counseling to association of suppliers to major restaurant chain.
- Assisting investor in food company in recovering investment.
- Providing compliance program to bank to satisfy compliance order.
- Establishing records management program for major insurance company.
- Revising supply contracts for food processing, package manufacturing, automotive parts manufacturing and other

industries.

- Providing compliance training to clients on various subjects, including employment, antitrust, social media, pricing, document retention, and conflicts of interest.
- Assisting start-up companies with initial financing, supply contracts, key employee agreements, distribution agreements, legal compliance
- Assisting individuals with negotiating employment contracts.
- Advising Internet-based start-up on antitrust risks of proposed service.
- Reviewing compliance program adopted by major electronics manufacturer to verify compliance with government orders.
- Advising food company on recovery available after breach of agreement by supplier.
- Assisting packaging manufacturer in drafting contracts with key suppliers.
- Advising health care organization on adopting new compliance program.
- Advising global food company on revisions to compliance program.
- Assisting food companies on compliance with regulations with regard to labeling, ingredients, advertising, processing, and packaging of products.
- Conducting investigations with regard to sexual harassment, fraud, theft, resume fraud, bankruptcy and other financial matters.
- Negotiating agreements with composers and performers.
- Advising U.S.. subsidiaries of global companies on compliance programs.
- Assisting with proper antitrust review of bidding practice of recycling company.

- Counseling major distributor of electronic equipment and computers on relationships with suppliers and customers.
- Developing compliance program for medical device company to comply with FTC agreement.
- Review of pricing and promotional programs under the Robinson-Patman Act.
- Analysis of proposed acquisitions.
- Assisting in responding to grand jury investigations.
- Providing guidance regarding trade association participation.
- Aiding in the development of purchasing consortia.
- Providing guidance regarding licensing of intellectual property.
- Counseling regarding termination of distributors and management of distribution litigation.
- Management of investigations by the Federal Trade Commission.
- Development of business control systems to prevent inadvertent antitrust violations.
- Successful pursuit of price fixers as antitrust plaintiff.
- Advised manufacturer of office equipment regarding patent invalidity basis for antitrust claim.
- Advised consumer products manufacturers and distributor of electronic products regarding extent to which patent licenses provided defense to antitrust claims for restrictive practices.
- Advised leading manufacturer of food products and consumer goods on ability to agree to industry technical standards and role of standards-essential patents.
- Advised household products manufacturer on enforceability of anticompetitive field of use restrictions in patent licenses.
- Advised several food and consumer companies on ability to use

price restrictions in patent licenses.

- Preparation of compliance program, including code of conduct, hotline system, board reporting, and investigation protocol for health services company.
- Review of investigation and reporting procedures for major industrial manufacturer. Creation of improper payments compliance program for diversified global manufacturer.
- Protocols for product recalls, to ensure that if a market withdrawal or recall is necessary, proper notification of customers, consumers and government agencies is accomplished, along with effective product retrieval.
- Determining proper substantiation for advertising or labeling claims, including copy review procedures and scientific protocols to support nutrition claims, such as whether weight loss claims are supported by real world evidence.
- Negotiated manufacturer contracts for a multi-billion dollar food company, which included systems to accommodate changes in pricing, new technological developments, and efficiency opportunities without the need to renegotiate the contract each time circumstances changed. This proactive approach minimized legal expenses and provided a system to enhance the business relationships between the contracting parties.
- Developed a comprehensive records management program for a national insurance company. Combined diverse record systems inherited from multiple acquisitions, developed electronic and print document management tools and employee training programs.

Professional Activities

Bar

- North Shore Compliance Officers' Roundtable (Coordinator, 2006-present)
- Chicago Regional Business Ethics Network (Steering Committee, 2008-Present)

- ABA Antitrust Section: (Canadian Sentencing Working Group, 2008; Compliance & Ethics Committee, Corporate Counseling Committee, International Antitrust Committee Membership Chair, 1989-1991; Subcommittee on Foreign Antitrust Laws, Chairman, 1981-1984; Task Force on Corporate Law Departments, Member, 1983; Joint Task Force of ABA Antitrust and International Law Sections on Department of Justice Antitrust Guidelines for International Operations, 1988)
- ABA Business Law Section: (Corporate Counsel Committee, Technology Subcommittee Chair, 1997-1999; Compliance Committee; Cyberspace Law Committee; Section Meeting Host Committee, 2014, 2015)
- ABA Law Practice Management Section (Business Interest Group, Chairman, 1993-1998; Corporate Law Department User Group, Chairman, 1986-1989; 1994-1996; ABA TechShow, Corporate Track Coordinator, 1997-2000; ABA Corporate Division, Annual Meeting Committee, 1986-1990)
- ABA Litigation Section: (Food & Supplements Subcommittee; Business Torts Committee)
- ABA Young Lawyer Division: (Liaison to Antitrust Section Council, 1985-1989; Antitrust Committee, Founder and Chair, 1981-1983)
- Business Roundtable (Lawyers Antitrust Advisory Committee, 1984-1998)
- Illinois Business Roundtable (Environmental Task Force, 1990-1995)
- ABA National Institute on Distribution Law (Co-Chairman, December 1983; January 1986; February 1989)
- Denver Journal of International Law & Policy (Board of Advisors)
- In-House Practice & Management (Board of Editors)
- American Lawyer Legal Tech Seminar (Advisory Board)

Community

- Keep Chicago Beautiful, Keep America Beautiful Affiliate (Board Member/Treasurer, General Counsel, 1987-present)
- Jewish National Fund - USA (Treasurer, 2013 - present)
Chicago Region (President 2003-2007)
- University of Denver, Master Award Recipient, 2009
- Corporation for National & Community Service and Points of Light, (President's Lifetime Volunteer Service Award Recipient, 2008)
- Keep Chicago Beautiful, Friend Award Recipient, 2008
- President's Volunteer Service Award, 2008
- Jewish Federation of Metropolitan Chicago (Board Member, 2001-2007)
- BMW Car Club of America, Chicago Chapter (General Counsel, Program Chairman 1983-1988)

PUBLICATIONS

Treatises

- "Corporate Legal Compliance Handbook," Aspen Law & Business, with F. Banks, Editor, 2002, Supplements through 2016.
- "Distribution Law for the Practitioner," Antitrust Principles & Practice, 1993, Supp. 1994-98, Second Edition 1999, Supplements through 2016.
- "Antitrust Compliance for Corporate Counsel," co-editor, American Bar Association, forthcoming, 2016.
- "Antitrust Law and Economics of Product Distribution," American Bar Association Section of Antitrust Law, co-editor, forthcoming, 2016.

Antitrust and Compliance

- "Five Things to Think About Before You Give That Compliance Presentation," Business Law Today, June 2016.

- "Compliance Programs, Individual Liability, and the Yates Memo: Has Anything Changed?," Co-authored by James Lord, Business Law Today, June 2016.
- "Compliance via Smartphone - Stop Fighting It!," Co-authored by Andy Hinton, In-house Access newsletter of the Association of Corporate Counsel, May 12, 2016.
- "Compliance: The New International Law," 1 Compliance Elance Journal 21, 2015.
- "Why You Should Love Your Antitrust Compliance Monitor," Competition Policy International Antitrust Chronicle, 2015.
- "The Dominant CEO: Great for Business and Terrible for Compliance?" Compliance & Ethics Professional, March 2015.
- "The Biggest Compliance Mistake That Lawyers Make," Co-Authoring by Eric Hinton, In-House Access blog, Association of Corporate Counsel, April 4, 2015.
- "Is Your Antitrust Compliance Program Effective?" with J. Murphy ABA Antitrust Section Compliance and Ethics Spotlight, March 20, 2014.
- "Why Your Organization Needs a Cybersecurity Compliance Plan," Law Technology News, December 2013.
- "The International Law of Antitrust Compliance," 40 Denver J. Int. L. & Policy 368, June 2012.
- "Best Practices for Compliance Programs: Results of an International Survey," Co-Authoring by Nathalie Jalabert-Doury, Concurrences: Revue de droits de la concurrence, May 2012.
[Click here to read.](#)
- "The Trouble with Antitrust Compliance and 10 Ways to Fix It," Corporate Counsel, April 30, 2012 [Click here to read.](#)
- "Bill Kovacic and the Global Evolution of Antitrust," Concurrences, March 2012.
- "Preventive Measures in a New Age: Social Media & Dodd-Frank Provisions Necessitate New Awareness, Policies &

Procedures," Law Bulletin Employment Law Conference, Chicago, Sept. 2011

- "Are You Ready for the Behavioral Antitrust Approach?" Co-Author by U. BenOliel, Bloomberg Law Quarterly 655, 2011 [Click here to read.](#)
- "Antitrust Analysis of Category Management, Antitrust Implications of Category Management," ABA Section of Antitrust Law, 2010.
- "The Law of Antitrust Conspiracy in Trouble," Bloomberg Law Reports: Antitrust & Trade, July 2010 [Click here to read.](#)
- "Sentencing Commission Proposals and Business Reality: Records Management Does Matter in Compliance - and Business - Risk," Bloomberg Law Reports: Risk & Compliance, May 2010. [Click here to read.](#)
- "Why Your Company Should Embrace Social Media, Now!" Law Technology News, May 2010.
- "Are you a Competitive Wannabe? If So, The Robinson-Patman Act Probably Is Not Going to Help You," Bloomberg Law Reports: Antitrust & Trade, April 2010. [Click here to read.](#)
- "Dominant-Firm Counseling in the Internet Era: Are You A Daredevil or A Fuddy-Duddy?," The Antitrust Counselor (ABA Antitrust Section), March 2010.
- "Keep It Simple: Take The Perspectives of Employees, Not Lawyers," Law Technology News, February 2010.
- "General Counsel as CCEO? Not an Obvious Answer," Compliance and Ethics Magazine, June 2009.
- "Compliance in Times of Crisis," Society of Corporate Compliance and Ethics, April 2009.
- "Compliance On-Screen," with G. Stavrou, Compliance and Ethics Magazine, October 2007.
- "Recent Trends in Internal Investigations," with S. Lassar and T. Giller, ACC Docket, winner of Apex Award of Excellence for

Feature Writing, April 2007. [click here to read.](#)

- "Getting Your Client Prepared for That Deal," 22 The Antitrust Counselor 3, April 2005.
- "The Pros & Cons of Using Computer-Based Training for Antitrust Compliance," Antitrust Compliance: Perspectives and Resources for Corporate Counselors, American Bar Association, 2005.
- "On-Line Antitrust Compliance Training," (edited transcript), The Antitrust Source, January 2004.
- "Antitrust Liability for Category Management and Other New Merchandising Techniques: Have You Updated Your Counseling?" The Antitrust Source 1, March 2003.
- "Are You Still Using the "Blunderbuss" Approach to Antitrust Compliance? ABA Corporate Counseling Report, Summer 2002.
- "A Robinson-Patman Case Study," 10 Antitrust 11, Summer 1996.
- "On-Line Antitrust Forum Moderator," Counsel Connect, 1993-1998.
- "Using Technology to Teach Effective Antitrust Compliance," 9 Antitrust 37, Summer 1995.
- "The Biggest Compliance Mistake That Lawyers Make," Co-Authored by Eric Hinton CECO 7-11. In-House Access.

Automation

- "Perfect Fit?" Co-Authored by Christian Liipfort, Law Technology News, October 2011.
- "Why Your Company Should Embrace Social Media, Now!," Law Technology News, May 2010.
- "Call to Disarm," Co-Authored by Jim Mittenthal, Law Technology News, October 2009.
- "I Hate Technology," Law Technology News, February 2009.

- "Are You on Top of Your Electronic Communications?"
1 In-House Practice & Management 8, November 1997.
- "Computers Can Help You to Manage Outside Counsel," 39
Prac. Law. 25, September 1993.
- "The Computer in the Corporate Law Department," Yellow Pads
to Computer" -- the Lawyer in Control, American Bar
Association, 1st ed. 1987; 2d ed. 1991.
- "Time to Upgrade Your Setup?" ABA Business Lawyer's
Computer News, Spring/Summer 1990.
- "Is PBX for You?" ABA Corporate Dispatch, Spring 1990.
- "Communications: The Next Step for Law Department
Automation," ABA Business Lawyer's Computer News, Fall
1989.
- "Laptops in the Air," ABA Corporate Dispatch, July 1989.
- "Keeping Those Old PCs Alive," ABA Business Lawyer's
Computer News. Summer 1989.
- "New Product Reviews," ABA Corporate Law Department User
Group Newsletter, Spring 1988; Fall 1987.
- "Making the Most of the Small or Solo Department," ABA
Corporate Law Department Handbook, 1987.

Legal Management

- "What Really Should Be in General Counsel's Skill Set,"
Law Technology News, Aug. 4, 2014.
- "Landing New Business: The ABCs of Making the Sale,"
Center for Competitive Management Webinar, July 2009.
- "What We Wish We Could Get from a Law Firm," Marketing
Success Stories, American Bar Association. 2004.
- "Work Product and Attorney Client Privilege, Co-Authored by
Murray Bring, Successful Partnering Between Inside and
Outside Counsel," West Publishing/American Corporate

Counsel Association, 2000.

- "BMW of North America v. Gore: More Than Just a Car Case," BMW Car Club of America Roundel, June 1996.
- "Making Your Corporate Law Department 'Hot'," 6 Counselor's Computer & Management Report 4, Summer 1995.
- "Computers Can Help You Manage Outside Counsel," 39 Practical Lawyer No. 6, Sept. 1993.
- "Orienting Outside Counsel," ABA Counselor's Computer & Management Report, Fall 1992.
- "Wage Assignments and Wage Deduction Orders in Illinois," Editor, Chicago Council of Lawyers, 1978.

International

- "Compliance: The New International Law," 1 Compliance Eliance Journal 21, 2015.
- "International Antitrust Law in The Law of Transnational Business Transactions," West Publishing, 1981; revised through 2016, [cited, Mitsubishi Motors Corp. v. Soler Chrysler-Plymouth, Inc., 473 U.S. 614, 634 n.18 1985].
- "EC Law After 1992: A Practical Guide for Lawyers Outside the Community," Book review, 22 Denver J. Int. L. & Policy 541, 1994.
- "EC Competition Law: Commentary," 59 Antitrust L.J. 465, 1990.
- "International Activities & Criminal Considerations Under U.S. Antitrust Laws, in International Criminal Law: A Guide to U.S. Practice and Procedure," Practising Law Institute, 1987.
- "Task Force Report: The Antitrust Guide for International Operations Revisited," 54 Antitrust L.J. 839, [editor and section author] 1985.
- "Review of Foreign Merger and Acquisition Laws," 52 Antitrust L.J. 965, [editor and section author] 1984.

- "Current Developments in Foreign Antitrust," ABA Section of Antitrust Law, March 1984.
- "Trade or Commerce with Foreign Nations, Antitrust Law Developments," Chapter IX, Editor, American Bar Association, 1984.
- Book Reviews, *The International Lawyer*, 1979.
- "International Court of Justice Opinion Briefs," American Bar Association, 1978.
- GATT, Altered Economics, and DISC, 5 *Denver J. Int. L. & Policy* 121, 1975.
- "Political Terror and International Law," *Revista da Faculdade de Direito (U.F.M.G., Brasil)* 1975.
- "The Difficulty of Consensus in the Middle East," 5 *Denver J. Int. L. & Policy* 419, 1975.

SPEAKING ENGAGEMENTS

- "Ethical Considerations for Compliance and Ethics Officers," *Compliance Perspectives Podcast*, September 4, 2018.
- "The Intersection Between Antitrust, Ethics, and Compliance," *PLI's Antitrust Law & Regulation Program*, New York, April 16, 2018.
- "Criminal Antitrust Compliance," *Department of Justice Public Roundtable Discussion*, Washington, D.C., April, 09, 2018.
- "Moving from a Legal Role into Compliance," *Society of Corporate Compliance & Ethics Podcast*, January 19, 2018.
- "Ethical Considerations in Consumer Fraud Class Action Settlements," *American Bar Association Section of Litigation Food & Supplements Sixth Annual Workshop*, Purchase New York, June 14, 2016.
- *PLI Compliance & Ethics Institute*, Chair, Chicago, Illinois, May 4-5, 2015.

- "Antitrust Compliance Programs and the AU Optronics Case," ABA Antitrust Section, March 2015.
- "Avoiding Legal Problems in the Food and Consumer Packaged Goods Businesses, Hot Topics for Food Industry/Consumer Packaged Goods Entrepreneurs Seminar," Scharf Banks Marmor LLC, February 5, 2015.
- "Antitrust Compliance - What You Need to Know Today in Dealing with DOJ," Fordham Corporate Law Center, October 30, 2014.
- "Antitrust Compliance? How and Why You Can Make It Work," Fordham University Law School, New York, New York, October 2014.
- "Government Approaches to Antitrust Compliance in the US and Elsewhere and Why You Should Ignore Them and Just Make a Good Compliance Program," Canadian Bar Association 2014 Annual Fall Competition Law Conference, Ottawa, Canada, September 2014.
- "Agile Compliance Using Big Data," Society of Corporate Compliance and Ethics Compliance and Ethics Institute, Chicago, Illinois, September 2014.
- "Compliance Update: Lessons from the Cases," ABA Antitrust Section, September 2014.
- "Ethical Considerations for In-House Employment Lawyers," North Shore Labor Counsel, Deerfield, Illinois, June 2014.
- "Why Your Antitrust Compliance Program Doesn't Work," ABA Section of Litigation, Scottsdale, Arizona, April 2014.
- "No Good Deed Goes Unpunished, or How to Avoid the Antitrust Traps in Corporate Social Responsibility," ABA Section of International Law Annual Meeting, New York, New York, April 2014.
- "Is Your Antitrust Compliance Program Effective?" Antitrust Section Compliance & Ethics Spotlight, American Bar Association, March 20, 2014.

- "Antitrust Compliance: What Should Businesses Know?"
College of Law, University of Illinois Law 792 - Compliance, Ethics & Professional Responsibility, Chicago, Illinois, March 2014, Corporate Compliance & Ethics, PLI Antitrust Institute New York, May 2014, May 2013, May 2012; Chicago, Illinois, June 2013, June 2012.
- "Money for Lunch," November 2013
- "Automating Your Compliance Program," 2013 Compliance & Ethics Institute of the Society of Corporate Compliance & Ethics, Washington, District of Columbia, October 6-9, 2013.
- "Training Your Dragons," Compliance Programs for the Board of Directors, Ethics & Compliance Officer Association, Chicago, Illinois, September 2013.
- "Conducting Employee Disciplinary Investigations," Illinois Institute of Continuing Legal Education. Video, June 2013.
- "Discrimination in Price & Promotions," PLI Antitrust Institute, New York, New York, May 2014; May 2013; Chicago, Illinois, June 2013; June 2012.
- "Practicing Law Institute Corporate Compliance and Ethics Institute," Chair, 2013, Chicago, Illinois, May 9-10, 2013.
- "Antitrust Compliance Programs and the AU Optronics Case," ABA Section of Antitrust Law, Compliance & Ethics Committee, March 2013.
- "Red-light Cameras, Risk Assessments, and Compliance Programs," University of Denver Sturm College of Law, Denver, Colorado, March 2013.
- "Building & Maintaining an Effective Compliance Program," Chicago-Kent College of Law Compliance Boot Camp, Chicago, Illinois, January 2013.
- "Automating Compliance in the iPhone Age, Society of Corporate Compliance & Ethics," Las Vegas, Nevada, October 2012.
- "iPad Mini: Yes, No, Maybe?" Law Technology News, October

2012.

- "Practical Compliance for Companies of All Sizes," Federation of Defense and Corporate Counsel, Philadelphia, Pennsylvania, September 2012.
- "How Does Your Position Align with Your Corporation's Risk Appetite?" RIMS Regional Risk Management Conference, Wheeling, Illinois, September 2012.
- "Corporate Culture and Compliance", Society of Corporate Compliance and Ethics Midwest Regional Compliance Conference, Chicago, Illinois, April 2012.
- "Why Your 20th-Century Antitrust Compliance Program Doesn't Work," ABA Antitrust Section Spring Meeting, Washington, District of Columbia, March 2012.
- "Antitrust Compliance: Will Your Complacency Be Fatal?" Ethics & Compliance Officers Association, March 2012.
- "Implementing an Efficient and Effective Ethics and Compliance Program," Corporate Counsel (ALM) General Counsel Conference West Coast, San Francisco, California, November 2011.
- "The Patent-Antitrust Interface," Chicago-Kent School of Law, Chicago, Illinois, November 2011.
- "Antitrust Compliance for the Mid-Market: Because There is No Such Thing as Flying Under the Radar," American Bar Association Section of Business Law, Fall Meeting, Washington, District of Columbia, November 2011.
- "Corporate Compliance Programs: Where Outside Counsel Can Help or Make a Bad Situation Worse," New York City Bar Association, New York, New York, October 2011.
- "Using Automation for Compliance, Advanced Discussion Group Leader, Society of Corporate Compliance & Ethics", Las Vegas, Nevada, September 2011.
- "Effective Antitrust Compliance," Society of Corporate Compliance & Ethics, Las Vegas, Nevada, September 2011.

- "Management," ABA Antitrust Section, May 2011.
- "Smoothing the Distribution Path: Advising Clients on Antitrust Concern in Channel Management," ABA Antitrust Section, May 2011.
- "Insider Trading and Compliance," Law Bulletin White Collar + Corporate Governance Conference, Chicago, Illinois, April 2011.
- "Deception, Monopolization & Category Management," ABA Antitrust Section Spring Meeting, March 2011.
- "Corporate Culture and International Compliance," University of Denver Sturm College of Law, Denver, Colorado, March 2011.
- "Discrimination in Price and Promotions," PLI Antitrust Institute, Chicago, Illinois, March 2011, June 2010.
- "Antitrust Counseling for Firms with Large Market Share: Navigating the Antitrust Minefield in the Current Era of Uncertainty," Illinois State Bar Association Antitrust & Competition Law Council, Chicago, Illinois, February 2011.
- "Antitrust Compliance for Corporate Counselors," ABA Section of Antitrust Law, January 2011.
- "PLI Corporate Compliance and Ethics Institute," Chicago, Illinois, May 2014, May 2013, May 2012, May 2011, May 2010.
- "Smoothing the Distribution Path: Advising Clients on Antitrust Concerns in Channel Chairman," PLI Corporate Compliance and Ethics Institute, Chicago, Illinois, May 2011, May 2010.
- "Corporate Compliance Seminar," Loyola University Law School, Chicago, Illinois, Fall 2011, 2010.
- "Corporate Leadership" Green Legal Matters Conference, New Orleans, Louisiana, October 2010.
- "Integrating Legal Requirements into Business Procedures," Annual Ethics & Compliance Conference, Ethics & Compliance Officer Association, Anaheim, California, September 2010.
- "Modern Antitrust Compliance," Society of Corporate Compliance & Ethics Annual Conference, Annual Compliance

Institute, Chicago, Illinois, September 2010.

- "Corporate Compliance and Ethics," PLI Antitrust Institute, Chicago, Illinois, June 2010.
- "PLI Corporate Compliance and Ethics Institute," Chicago, Illinois, May 2010.
- "Ethics Issues in Global Antitrust Compliance," Chicago Forum on International Antitrust Issues, Northwestern University Law School, May 2010.
- "Why Corporate Culture is the Only Thing that Matters in Compliance & Ethics," Compliance and Ethics Conference, Blue Cross & Blue Shield Association Anti-Fraud, New Orleans, Louisiana, May 2010.
- "Setting the Stage for Your New Compliance Program," Society of Corporate Compliance & Ethics Regional Conference, Chicago, Illinois, April 2010.
- "Up the Ladder Reporting and SOX: Not Enough," University of Denver Sturm College of Law, Denver, Colorado, April 2010.
- "Behavioral Exploitation and Antitrust," 10th Annual Antitrust Colloquium, Loyola University School of Law, Chicago, Illinois, April 2010.
- "Risks and Benefits of Social Networking in Corporations," Legal Tech, New York, New York, February 2010.
- "Creating the Ethical Corporate Culture," PLI Advanced Compliance Workshop, San Francisco, California, December 2009.
- "The Patent-Antitrust Interface," Intellectual Property Law Association of Chicago, John Marshall Law School, Chicago, Illinois, November 2009.
- "Managing Ethics and Compliance During a Recession," Society of Corporate Compliance & Ethics 8th Annual Compliance and Ethics Institute, Las Vegas, Nevada, September 2009.

- "Managing Compliance & Ethics in Tough Economic Times," Institute of Internal Auditors GRC Conference, San Diego, California, August 2009.
- "Landing New Business: The ABCs of Making the Sale," Center for Competitive Management, Webinar, July 2009.
- "New Requirements & Concerns for Nonprofits," Keep America Beautiful State Leaders Conference, Chicago, Illinois, July 2009.
- "The Role of Lawyers in a Company's Compliance Program," Association of Corporate Counsel, July 2009.
- "Why Should I Hire You?" Legal Tech West, June 2009.
- "Robinson-Patman Act," PLI 50th Annual Antitrust Institute, Chicago, Illinois, June 2009.
- "Doing Ethical Business in Europe," Incisive Media General Counsel Conference, New York, New York, June 2009.
- "Implementing Antitrust Compliance Programs," PLI 50th Annual Antitrust Institute, Chicago, Illinois, June 2009.
- "The Obama Administration and New Enforcement Risks," Incisive Media General Counsel Conference, New York, New York, June 2009.
- "PLI Corporate Compliance and Ethics Institute," Chicago, Illinois, May 2009.
- "Practical Issues in Business Law," Northwestern University School of Law, Chicago, Illinois, April 2009.
- "Corporate Collapse: Regulatory and Criminal Issues in Corporate America," In-House Counsel, Compliance Officers & Ethical and Practical Obligations, University of Denver Seminar, Denver, Colorado, April 2009.
- "Corporate Governance That Works," University of Denver College of Law Masters Lecture, Denver, Colorado, April 2009.

- "Corporate Legal Compliance in 2009: Are You Ready to Deal with Today's Risks?" Aspen Publishers/Wolters Kluwer, March 2009.